

BDC New Online Filing Tool



Abc New Online Filing Tool (OFT)

The National Agency of Mining (NAM) is making available a new online tool to channel and process the filing of mining applications, bearing in mind transparency, efficiency, and security criteria, as well as greater coverage and further confidence, guaranteeing equal conditions of access for all.

¿How does the Online Filing Tool work?

The Online Filing Tool is based on a robust technology platform, located in a data center external to the NAM, which takes all the necessary technological security measures and has a high capability and availability architecture. The OFT has a security certificate that guarantees the transactions it processes are completely secure. The OFT will allow mining sector stakeholders to file their Concession Contract Proposals and Temporary License Applications via the web, avoiding queues and unnecessary losses of time.

However, an assisted filing option is also available for those users who do not have access to a computer: they can go directly to the Regional Service Centers of the NAM to use the equipment and rely on the Customer Service staff trained to assist in the filing process.

¿Why is it necessary to have this new communication channel?

In the public sphere, proper and timely service to citizens is the raison d'être of government entities. In that regard, the National Agency of Mining seeks to implement actions to improve each of its interaction channels with citizens and the management. The Online Filing Tool is one such interaction channel.

¿Does the computer to file the application online needs to fulfill any technical requirements?

Yes, it does. Users interested in filing their mining applications must have a computer with the following configuration: 1 MB bandwidth capability or higher; if there is an active proxy or firewall, browsing must be free. Supported explorers: Mozilla 10 or higher; Google Chrome 18 or higher; Internet Explorer 8 or higher; OS: Windows 7 or higher. Pluggins: Adobe Flash Player 10 or higher, Acrobat Reader 9 or higher, and Java pluggins. If you are a company, please make sure Port 8399 is enabled to avoid difficulties with the image editor.

¿How to log into the OFT?

LUsers filing mining applications such as Concession Contract Proposals and Temporary License Applications should go to the agency's website: **www. anm.gov.co**, and click on the Online Filing Tool link.

¿In how many steps is the filing process done?

Users need to follow three steps to process their applications; each step is vital for a successful filing process. <image><complex-block><complex-block><complex-block>

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- 1. Get a PIN.
- 2. File an application.
- 3. Check the status of the application.

¿How to get a PIN?

In this first step, users go to the Agency's website (www.anm.gov.co) and click on the Online Filing Tool link, and then on the PIN Request button; once there, choose the type of application to file: Concession Contract Proposals or Temporary License Applications.

For a Temporary License, users must print out the form shown on screen and fill it out to file it directly at the NAM offices, or email it to solicitud.pin@anm.gov.co. For a Con-

cession Contract Proposal, users must fill out the online deposit form and generate the ready-to-print form.

Note: The PINs to file temporary license applications should be obtained directly through the National Agency of Mining. In this specific case, the process is free.

¿How are payments made?

Once the deposit form is generated, users must print it using a laser printer and make the payment at any branch of Banco de Bogotá. The deadline to make the payment is three working days following the date the request was made. Once the deadline expires, if the user has not made the payment, the PIN will be deactivated and the user must generate a new one. The user will be granted access to the system on the next working day after the payment.

¿What is the next step after generating the deposit form?

Once the deposit form has been generated, the next step is to go onto the agency's website again and click on the Online Filing Tool link. The system will show three options: File Application, Control Panel, and Check Application Status. Users must select the Filing option.

¿What is next after selecting the Filing option?

The tool will show a template to be filled out with the user's ID type and number, and their PIN. Then, the tool will request –as a security measure- a "captcha" which is challenge-response test used in computing that requires the user to type a distorted image (a mixture of numbers and letters) and then click on the "Accept" button. If the information is correct, the system validates the ID and PIN data that were automatically associated to the system from the very moment the PIN was generated. If the information is incorrect, the system will alert the user to the error and will request the data again.

¿What happens if the "captcha" image is not clear enough or if the typing is not correct?

If the image is not clear enough, the user can generate another one by clicking on the update button. If the typing does not match, the system will alert the user to the error and will generate a new one.

¿ls there any time limit on filling out the application?

There is no time limit to fill in the application provided that the user keeps the interface active (if the system detects no activity for more than 15 minutes, it will

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deactivate the session and the user will have to log in again).

¿What is next after logging into the system?

The user will see three tabs: Applicant Details, Application Details, and Contact Details. Users are allowed to register their data in any order as long as they save the changes so that the data is recorded.

In case of leaving the website, or if the system fails due to a computer error or a power cut, among other reasons, the system will automatically close the session just as it does after fifteen continuous minutes of inactivity. Likewise, the system will only make the data registered available to be modified within the same day in which the process is being carried out. If users do not finish filling out the application during this time, they need to reregister all the data again on the following day. If the user successfully finishes the filing process, the PIN is automatically cancelled as it has already been registered and has already generated an ID for the application.

Please remember the ID number the system generates through the filing certificate will be the instrument used to comply with the "first come, first served" principle.

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		Paota				
		Panta				

¿What information is requested in the Applicant Details form?

On the entry screen, after selecting the type of ID, the system will determine the main applicant, whose identification details will automatically be shown as Individual or Company, as the case may be. The data shown by the system cannot be deleted, only modified.

The user must register their missing personal details according to the type of applicant –Individual or Company- (name, address, phone number, place of domicile, date of birth, e-mail address and, if a Company, the details of their legal representative).

Users are allowed to include any type of secondary applicants they might require, which can also be deleted if necessary. The system will alert the user



of any missing mandatory data – identified by an asterisk (*). At the end of the registration process, users may use the 'Save' option and the system will require a "captcha" test again.

¿What information is requested in the Application Details form?

This tab has several sections. First, users must choose a mineral and add any others that they consider necessary; then, users must complete the following forms, depending on the category of the application:

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- 1. Topographic map author.
- 2. Selection of environmental authorities.
- 3. Presence of ethnic groups.
- 4. Presence in mining zones of indigenous or mixed communities.
- **5.** Presence of restricted mining zones.
- 6. Investment estimate during the exploration phase.*

*This does not apply for Temporary License Applications.

The system will alert users of any missing mandatory data – identified by an asterisk (*). At the end of the registration process, users may use the 'Save' option and the system will require a "captcha" test again.

¿Do all the sections need to be filled out?

All of the sections apply for Concession Contract Proposals and Temporary License Applications. However, if the Concession Contract Proposal is being processed, the additional "Acceptance of Terms of Reference" box should be checked. The mineral for the Temporary License Applications is selected by default as "building materials".

Mandatory sections can be identified by an asterisk (*)



¿What information is requested in the 'Topographic map author' section?

Professional license number and full name are the only necessary data. Please bear in mind that in accordance with Article 279 of the Mining Code, every single technical document, including mining plans, must be endorsed by a professionally licensed geologist, mining engineer or geological engineer.

¿To which environmental authorities' is the selection referring to?

It refers to the Regional Autonomous Corporations with jurisdiction in the targeted region for which the applications are processed. Several authorities may be included.

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¿What information is requested in the `presence of communities and restricted areas' section?

In principle, users must inform of the presence of any ethnic community in the targeted region and whether the working site is located in mining restricted areas, in accordance with Article 35 of the Mining Code, among others.

¿What is the next step after completing the Application Details form?

Users must continue by completing the Location Details form. There, users must enter the coordinates of the targeted area. The order of the coordinates is X (North South) and X (Fast West)

(North-South) and Y (East-West). Users can register their coordinates manually by typing the data, or by using the 'upload a file' option. The coordinates file must be a flat file containing the X and Y data which are separated by a colon (:), and a semicolon (;) at the end of the line.

The X and Y coordinates must be whole numbers. The georeferencing system used is the Gauss Flat Coordinates system.

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¿Does the system provide a plan after registering the coordinates of the targeted area?

Yes, it does. Users can and must check the area. If users do not check the area before ending the registration process, the system will alert users so that they do check the plan. A GREEN po-

lygon means the data was correctly registered. A RED polygon means the data was incorrectly registered (it shows errors such as bow ties, areas with more than ten thousand hectares or areas partially or totally not within the national territory), resulting in the application not being

processed and it will necessary to check and correct the data.

¿Is the system able to report whether the targeted area totally or partially overlaps a previously targeted area or an existing mining license?

The system WILL NOT execute area cropping processes when filing the mining application and will not validate any areas overlapping other licensed or restricted areas. It means that users must validate the data before applying for a license so



that they are completely sure their targeted area has not been already licensed or applied for.

¿Where can such information be found?

Users can validate such information through the Colombian Mining Registry by visiting its website and the related sites at www.anm.gov.co

¿What is the next step once the green polygon appears?

Users must finish the process by clicking on the "Submit" button. The system will request a "captcha" test. After passing the test, a message will be displayed on the screen informing

users that their filing certificate is being generated and will show it for printing if necessary.

¿Once the certificate is generated, is it possible to continue to the third step?

Yes, it is. The third step is checking the status of the application. The assigned number and some of the information can immediately be checked by clicking on the Control Panel button on the Online Filing Tool link on www.anm.gov.co. In the same vein, the application certificate and other certificates filed can also be immediately checked by clicking on the Check Certificates button on the same interface. The information regarding the applications filed can be checked on the system on the

following working day after the filing process.

¿What is the next step after the filing certificate is generated?

Starting the following day after finishing the filing process, users will have three (3) working days to submit the supporting documents directly to the Regional Service Centers of the NAM. In Bogotá, users can submit supporting documentation at Compensar located at Avenida 68 No. 49 A – 47.

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This location will be available only

between the 2nd and 5th July, from 8:00 a.m. to 5:00 p.m. From 8th July onwards, the submission of supporting documentation will be received at Avenida Calle 26 No. 59–51, 8th floor, from Monday to Friday, from 8:00 a.m. to 12:00 p.m. and from 2:00 p.m. to 5:00 p.m.

There, applicants shall submit the following documents so that the corresponding study of the proposal can commence:

- 1. Filing certificate.
- **2**. Copy of valid ID (individuals)
- **3.** . Certificate of existence and legal representation (Art. 17 Mining Code)
- 4. Copy of the Legal
- Representative's ID (companies) 5. Copy of the Tax Identification Number (NIT for its acronym in Spanish)- (companies)
- **6**. Plan (pursuant to Decree 3290 of 2003 and Art. 270 of the Mining Code)
- 7. ATechnical Annex (document describing exploration works)
- **8.** Copy of the professional license of the geologist or mining engineer authoring the plan.
- 9. Financial investment estimate.*
- 10. Copy of the professional license of the accountant.*

*Documents in points 9 and 10 do not apply to the Temporary License application. However, applicants for a Temporary License shall submit a contract or a certificate of the work site showing that the requirements conform to the law.

¿Can the aforementioned documents have to be submitted in other locations within Colombia?

Yes, they can. The same steps will apply to the Regional Service Centers of the NAM nationwide. For the Department of Antioquia, the receipt of documents will be carried out at the Governor's Office for those applications whose polygon targeted areas are located within the Department. However, if the application includes a polygon sharing two or more Departments, including Antioquia, the receipt of documents will be carried out at the Regional Service Centers of the NAM.

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¿What is next after submitting the documents?

The staff receiving the documents will check them and will issue and sign a certificate of receipt.

¿Where are the Regional Service Centers of the NAM located?

Medellín Calle 75 N° 79A-51

Cali Carrera 98 N° 16-00

Ibagué Carrera 8 N.° 19-31, Barrio Interlaken

Bucaramanga Carrera 20 N.° 24-71

Valledupar Calle 11 No.8-79

Nobsa Kilómetro 5, vía Sogamoso

Cúcuta Avenida 5 No° 11-20, piso 9 Edificio Antiguo Banco de la República

Pasto Calle 2 No.23 A-32 – Capusigra, Avenida Panamericana

Cartagena Carrera 2 No.12-125, Edificio Minarete Bocagrande, Local 2 Manizales Carrera 24 A No.61-50 Barrio Estrella

Chocó Carrera 6 No 28 -01 Piso 2° Quibdó - Choco

¿Who should be contacted in the case of questions?

The National Agency of Mining has opened the toll-free line 01 8000 933 833 for national calls, and line 220 1999 Ext. 6000 for local calls in Bogotá. Users can also write to radicadorweb@anm.gov.co to request information and ask questions.

¿Is it possible to obtain personalized assistance to fill out an application form?

Yes, it is. The Regional Service Centers and Compensar have trained staff to assist users through the use of the Assisted Filing Tool of the NAM. Users must have all the necessary information with them so that the process can successfully be completed. Actually, the Assisted Filing process in Bogotá is carried out at the Grupo de Atención al Minero (Miners' Assistance Team office) located at Avenida Calle 26 No. 59-51 Tower 3 Office 107.

¿How long does the Mining Authority take to respond to an application?

By filing the application, an administrative process is started. This process includes the study of the application to verify if applicants meet the technical, legal, and financial requirements set forth by the law. The response time depends on each specific application.



NATIONAL AGENCY OF MINING

Avenida Calle 26 No. 59-51 - 8th, 9th y 10th floors Phone: 220 19 99 Extension 6000 National toll-free line: 01 8000 933 833 www.anm.gov.co radicadorweb@anm.gov.co

Service hours: Monday to Friday, from 8.00 a.m. to 5:00 p.m. in all our branches.





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